

## Yutaka Shoji Malaysia Referral Event

### Terms and Conditions

#### Event Period

1. The event will run from 1<sup>st</sup> December 2022 to 31<sup>st</sup> January 2023.

#### Eligibility

2. The event is open to all existing clients and new clients age 21 years and above. Criteria for the referral event includes:

##### **Referrer**

- Must have an active account with Yutaka Shoji Malaysia Sdn Bhd (YSM)

##### **New Clients**

- Must not have held any account with YSM
- Successfully opens an account with YSM

3. The following persons are NOT eligible to participate in the event:
  - Permanent and/or contract employees of YSM including their immediate family members ie spouse, children, parents, siblings.
  - Futures Broker's Representatives of YSM.

#### Rewards for Referrer & New Clients

##### **4. Referrer**

- If Referrer successfully introduce 1 new client to open account with a minimum trade of 10 lots, the Referrer will receive 20 lots commission-free trade.

Every additional 1 new client that successfully open account with a minimum trade of 10 lots will receive another 20 lots commission-free trade.

- There is no maximum limit for the rewards as long as above requirements are satisfied.
- Eligible referrers are required to pay the brokerage fee at the time of trading. The commission-free trade rewards will be reimbursed to eligible referrers' trading account after the end of event period.

##### **5. New Clients**

- New Clients that traded minimum 10 lots during the event period will have their account credited RM50 after the end of the event period.

#### Rules

6. The referred client has to indicate the referrer's name in the designated field when opening an account online.
7. As this is a one-off event, referrer is not deemed as marketing representative. Referrer only acts as introducer, and will not be permitted to undertake marketing of the product and/or provide support service to new client.

8. Participants will be required to pay relevant fees such as Exchange/Clearing fee and SST, where applicable, in the course of trading futures products.
9. The entitlement of 20 lots commission-free trade will be selected in sequential order of filled trades, commencing trade date at 1<sup>st</sup> December 2022 regardless local or foreign products.
10. All commission-free trades must be utilized by 28<sup>th</sup> February 2023. Otherwise, remaining unused will be forfeited.
11. Trading platform fee will be waived for all new clients during the event period.
12. The minimum number of traded lots required for entitlement of rewards will end on close of business day on 31<sup>st</sup> January 2023. Traded lots post event period will not be considered for the reward.
13. An e-mail notification will be transmitted to participants entitled for rewards.
14. All participants are responsible for checking and ensuring that their e-mail address is accurate, accessible and responsible for the general security of their e-mail account. YSM will not be liable for claims arising from any third party's access to the participant's e-mail account whether such access is gained wrongfully or with permission.
15. Rewards will be in the form of credit adjustment into client's trading account. It will not be transferable into bank account and exchangeable for cash or any other items.
16. At the time of finalizing the rewards, the trading accounts must not be deleted, suspended or closed; otherwise, the rewards for entitled participants will be forfeited.
17. YSM will not be held liable for any trade losses incurred by participants in order to achieve the rewards requirement during the event period. Trade at your own risk.
18. By participating in the Referral Event:
  - all participants are deemed to have read, understood and agree to be bound by these Terms and Conditions.
  - all participants agree to comply with rules and regulations governing the trading activities by Bursa Malaysia Derivatives and further agree to abide by YSM's trading policies.
19. YSM reserves the right to suspend, disqualify or exclude any person from participating or continuing to participate in the event if such person is under Default Status. A person shall be deemed as under Default Status if:
  - such person is in breach of the terms and conditions of the event or the terms and conditions of YSM Account;
  - such person's Account with YSM is suspended, cancelled or terminated; and/or
  - YSM in its absolute discretion deems fit.

YSM may at its sole discretion allow such eligible participants to continue his/her participation in the event if his/her status is rectified and no longer under Default Status, such as, account is rectified/regularized to YSM's satisfaction, no longer in breach of the terms and conditions herein and/or where applicable, the suspension of their YSM account is lifted.